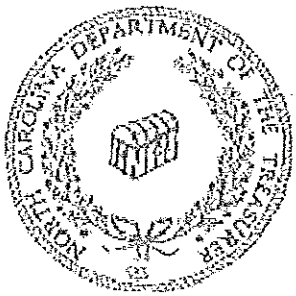
NORTH CAROLINA DEPARTMENT OF STATE TREASURER

Janet Cowell
State Treasurer



LEGISLATIVE OVERVIEW
for
JOINT APPROPRIATIONS SUBCOMMITTEE ON GENERAL GOVERNMENT

March 9, 2011

NORTH CAROLINA DEPARTMENT OF STATE TREASURER

LEGISLATIVE OVERVIEW

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NORTH CAROLINA DEPARTMENT OF STATE TREASURER

Statutory Authority
G.S. Chapter 147

Vision
Our vision is to create and maintain a fiscally sound and prosperous North Carolina.

Mission Statement
Our mission is to exercise fiduciary oversight and provide outstanding customer service that provides value to, and instills confidence by, the state's citizens, customers, and financial community.

Goal(s) and Objectives
The North Carolina Department of State Treasurer has identified the following seven strategic goals:

I. Protect the Pension by Optimizing Returns and Plan Design Features

II. Apply Conservative Debt Management Practices to Maintain the State's AAA Bond Rating

III. Ensure Transparency, Ethics, and Accountability

IV. Increase Customer Service

V. Increase Operational Efficiencies and Improve Risk Management

VI. Contribute to State Innovation and Economic Development

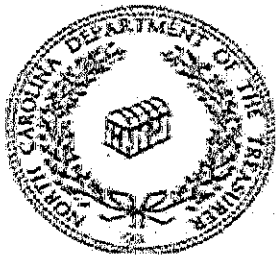
VII. Advance Financial Literacy across North Carolina

Key Responsibilities

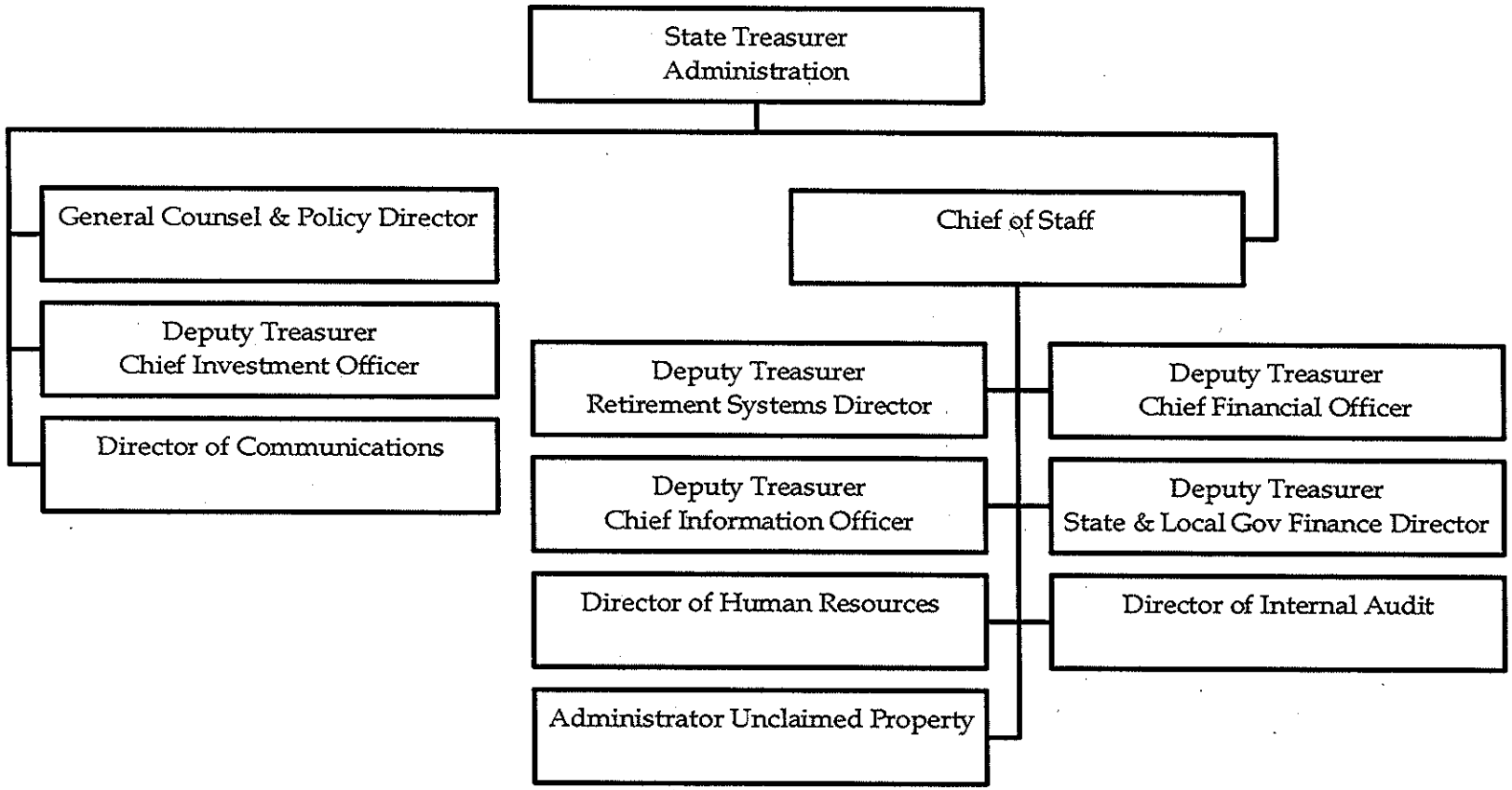
- Manage Pension Fund
- Administer Teacher & State Employee and Local Government pension plans
- Operate State Bank
- Manage state and local debt issuance and interface with bond rating agencies
- Oversee Local Government Finance
- Act as fiscal advisor to the State
- Manage Unclaimed Property Program
- Administer 401(k)/457 plans
- Administer State Disability Program

State of North Carolina

Department of State Treasurer



Organization Overview



ATTACHMENT 3B

TEMPLATE 1

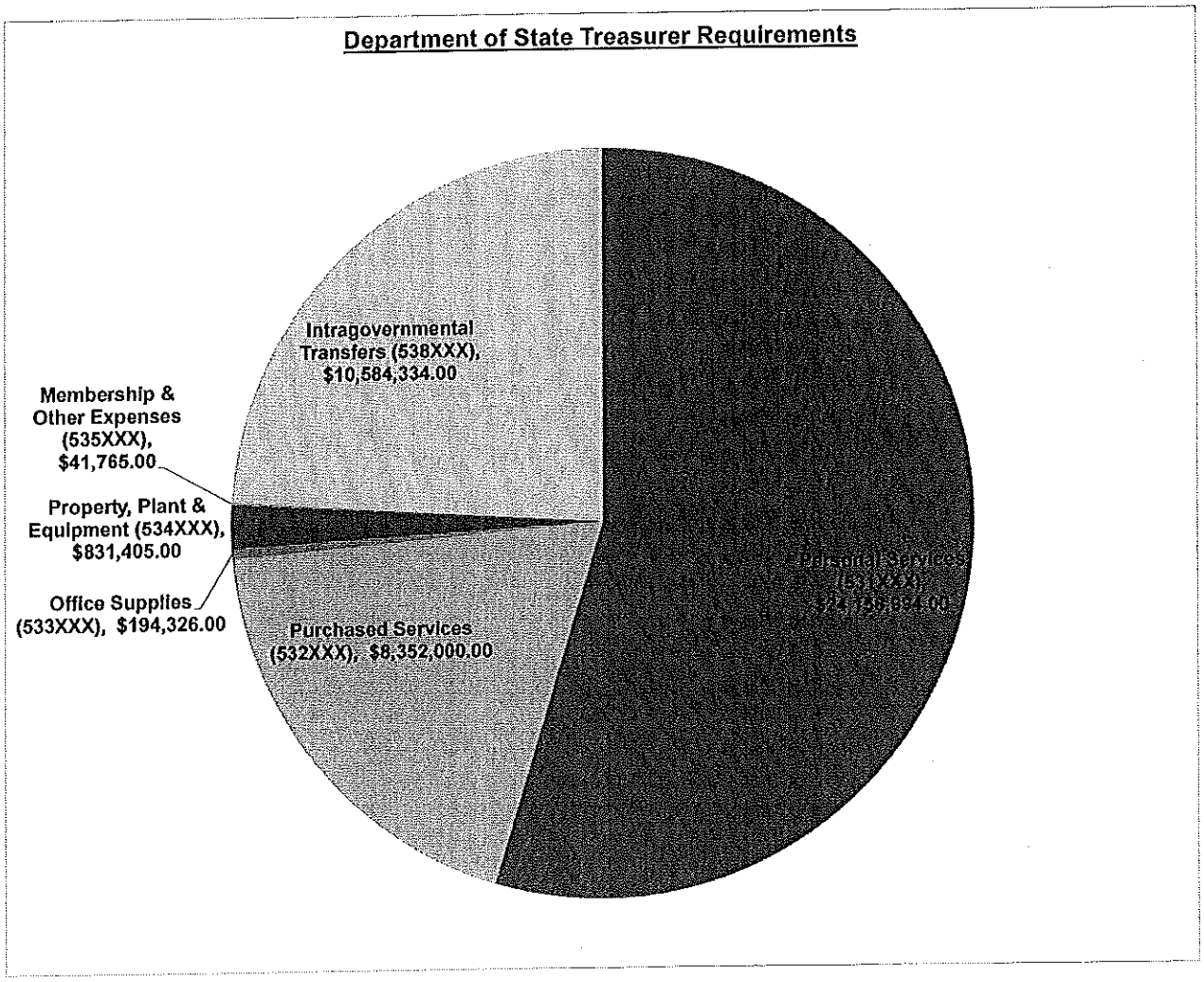
Department of State Treasurer
Continuation Budget

Fiscal Data

Budget Code 13410	2009 - 2010 Actual	2010 - 2011 Certified	2010 - 2011 Authorized	2011 - 2012 Recommended	2012 - 2013 Recommended
Total Requirements	$ 45,088,905.00	$ 44,162,824.00	$ 46,360,972.00	$ 45,150,807.00	$ 45,150,807.00
Receipts	$ 34,566,920.00	$ 33,621,935.00	$ 35,820,083.00	$ 34,612,604.00	$ 34,612,604.00
General Fund Appropriation	$ 10,521,985.00	$ 10,540,889.00	$ 10,540,889.00	$ 10,538,203.00	$ 10,538,203.00
Less: Recommmended Adjustment	$ -	$ -	$ -	$ 3,875,368.00	$ 3,910,649.00
Adjusted General fund Appropriation	$ 10,521,985.00	$ 10,540,889.00	$ 10,540,889.00	$ 6,662,835.00	$ 6,627,554.00

Expenditures by Category:

Personal Services (531XXX)	$ 24,158,994.00
Purchased Services (532XXX)	$ 8,352,000.00
Office Supplies (533XXX)	$ 194,326.00
Property, Plant & Equipment (534XXX)	$ 831,405.00
Membership & Other Expenses (535XXX)	$ 41,765.00
Intragovernmental Transfers (538XXX)	$ 10,584,334.00
Total Requirements	$ 44,162,824.00



SUMMARY BY PURPOSE

ATTACHMENT 2

3410 -
13410 Office of State Treasurer - General Fund

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
REQUIREMENTS								
1110	GENERAL ADMINISTRATION	1,553,248	1,788,136	1,825,188	-9,000	1,816,188	-9,000	1,816,188
1130	ESCHEAT FUND ADMINISTRAT	3,117,551	3,075,671	3,075,671	-798	3,074,873	-798	3,074,873
1150	INFORMATION SERVICES	7,912,002	7,270,517	8,032,419	0	8,032,419	0	8,032,419
1210	INVESTMENT MANAGEMENT	4,718,978	4,042,443	5,441,637	-654	5,440,983	-654	5,440,983
1310	LOCAL GOVERNMENT OPERATI	4,234,450	4,315,507	4,315,507	-1,307	4,314,200	-1,307	4,314,200
1320	STATE BOND ISSUANCE	622,817	0	0	0	0	0	0
1410	RETIREMENT OPERATIONS	18,249,839	18,922,380	18,922,380	-1,197,681	17,724,699	-1,197,681	17,724,699
1510	FINANCIAL OPERATIONS DIV	4,680,020	4,748,170	4,748,170	-725	4,747,445	-725	4,747,445
TOTAL REQUIREMENTS		45,088,905	44,162,824	46,360,972	-1,210,165	45,150,807	-1,210,165	45,150,807
ESTIMATED RECEIPTS								
1110	GENERAL ADMINISTRATION	1,553,250	1,788,136	1,825,188	-9,000	1,816,188	-9,000	1,816,188
1130	ESCHEAT FUND ADMINISTRAT	3,117,553	3,075,671	3,075,671	-798	3,074,873	-798	3,074,873
1150	INFORMATION SERVICES	7,912,000	7,270,517	8,032,419	0	8,032,419	0	8,032,419
1210	INVESTMENT MANAGEMENT	735,752	24,958	1,424,152	0	1,424,152	0	1,424,152
1310	LOCAL GOVERNMENT OPERATI	854,032	989,366	989,366	0	989,366	0	989,366
1320	STATE BOND ISSUANCE	622,817	0	0	0	0	0	0
1410	RETIREMENT OPERATIONS	18,249,838	18,922,380	18,922,380	-1,197,681	17,724,699	-1,197,681	17,724,699
1510	FINANCIAL OPERATIONS DIV	1,521,678	1,550,907	1,550,907	0	1,550,907	0	1,550,907
TOTAL RECEIPTS		34,566,920	33,621,935	35,820,083	-1,207,479	34,612,604	-1,207,479	34,612,604
NET APPROPRIATION		10,521,985	10,540,889	10,540,889	-2,686	10,538,203	-2,686	10,538,203

SUMMARY BY ACCOUNT

ATTACHMENT 3

3410 -
13410 Office of State Treasurer - General Fund

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
REQUIREMENTS								
53 1111	EPA-REG SALARIES-APPRO	610,216	1,240,295	616,273	0	616,273	0	616,273
53 1112	EPA REGULAR SALARIES-REC	2,048,522	1,461,650	2,442,385	0	2,442,385	0	2,442,385
53 1142	SEC/COUNCIL OF STATE REC	123,198	123,199	123,199	0	123,199	0	123,199
53 1211	SPA-REG SALARIES-APPRO	3,119,466	3,478,933	3,519,748	0	3,519,748	0	3,519,748
53 1212	SPA-REG SALARIES-RECPT	11,105,811	12,171,500	12,451,629	0	12,451,629	0	12,451,629
53 1222	SPA- TIME LIMITED REC.	3,258	0	0	0	0	0	0
53 1311	REG(N S)TEMP WAGES-APPR	10,850	0	0	0	0	0	0
53 1312	REG(N S) TEMP WAGES-RECP	292,522	202,105	202,105	0	202,105	0	202,105
53 1411	OT PAY- APPROPRIATED	187	0	0	0	0	0	0
53 1412	OT PAY - RECEIPTS	1,676	26,690	26,690	0	26,690	0	26,690
53 1421	SPA PREMIUM PAYMENTS	774	466	466	0	466	0	466
53 1422	HOLIDAY PAY-RECEIPTS	1,647	0	0	0	0	0	0
53 1432	SHIFT PREM PAY - RECEIPT	11,525	12,000	12,000	0	12,000	0	12,000
53 1452	SPA EMPLOYEES ON LOAN-RE	26,260	0	0	0	0	0	0
53 1461	EPA&SPA-LONGVTY PAY-APPR	40,333	52,694	52,694	0	52,694	0	52,694
53 1462	EPA&SPA-LONGVTY PAY-REC	151,113	191,681	191,681	0	191,681	0	191,681
53 1472	BONUS-INCENTIVE PAY-RECP	0	0	51,747	0	51,747	0	51,747
53 1511	SOCIAL SEC CONTRIB-APPRO	273,983	358,958	358,227	0	358,227	0	358,227
53 1512	SOCIAL SEC CONTRIB-REC	987,516	1,085,213	1,146,958	0	1,146,958	0	1,146,958
53 1521	REG RETIRE CONTRIB-APPRO	330,034	445,549	444,772	0	444,772	0	444,772
53 1522	REG RETIRE CONTRIB-REC	1,170,868	1,491,775	1,602,343	0	1,602,343	0	1,602,343
53 1561	MED INS CONTRIB-APPRO	294,982	362,102	362,102	0	362,102	0	362,102
53 1562	MED INS CONTRIB-RECPTS	1,156,908	1,393,542	1,419,256	0	1,419,256	0	1,419,256
53 1572	UNEMP COMP PAYMNTS TO ES	18,810	0	0	0	0	0	0
53 1576	FLEXIBLE SPENDING SAVING	28,349	0	0	0	0	0	0
53 1628	ST DISABIL PMT - RECEIPT	27,758	0	0	0	0	0	0
53 1631	WRKER COMP-MED PAYMENTS	20,056	2,325	2,325	0	2,325	0	2,325
53 1651	COMPENSATION TO BOARD ME	74,310	59,650	74,100	0	74,100	0	74,100
TOTAL PERSONAL SERVICES		21,930,932	24,160,327	25,100,700	0	25,100,700	0	25,100,700
53 2110	LEGAL SERVICES	655,575	314,776	344,591	0	344,591	0	344,591
53 2120	FINAN/AUDIT SERVICES	1,475,421	1,512,885	1,512,885	-1,011,916	500,969	-1,011,916	500,969
53 2132	MEDICAL FEES-RECEIPTS	0	400	400	0	400	0	400
53 2140	SYSTEMS IMPLE/INTEG SER.	68,248	9,703	9,703	-5,833	3,870	-5,833	3,870
53 2143	LAN SUPPORT SERVICES	1,149	0	0	0	0	0	0
53 2170	CONTRACTED PERSONAL SERV	741,682	121,202	182,202	0	182,202	0	182,202

SUMMARY BY ACCOUNT

ATTACHMENT 3

3410 -
13410 Office of State Treasurer - General Fund

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
53 2184	JANITORIAL SER AGREEMENT	5,695	7,583	7,583	-7,583	0	-7,583	0
53 2186	SECURITY SERVICES	1,073	0	0	0	0	0	0
53 2199	MISC CONTRACTUAL SERVICE	507,930	324,768	324,768	-5,833	318,935	-5,833	318,935
53 2210	ENRG SER -ELECTRICAL	11,600	16,333	16,333	-16,333	0	-16,333	0
53 2230	ENRG SER-WATER & SEWER	866	1,400	1,400	-1,400	0	-1,400	0
53 2310	REPAIRS-BUILDINGS	8,213	20,000	20,000	0	20,000	0	20,000
53 2333	REPAIRS-OTHER EQUIPMENT	1,089	0	0	0	0	0	0
53 2430	MAINT AGREEMENT-EQUIP	102,306	29,553	29,553	0	29,553	0	29,553
53 2441	MAINT AGREEMENT-SOFTWARE	1,550,094	1,474,186	1,474,186	0	1,474,186	0	1,474,186
53 2443	MAINT AGRMT-OTHER DP EQP	451,498	599,179	599,179	0	599,179	0	599,179
53 2512	RENTAL BUILDINGS/OFFICES	1,020,298	1,035,290	1,035,290	0	1,035,290	0	1,035,290
53 2513	RENT/LEASE OTHER FACIL.	87,653	118,600	118,600	-89,600	29,000	-89,600	29,000
53 2524	RENT/LEASE-GEN OFF EQUIP	2,514	2,134	2,134	0	2,134	0	2,134
53 2711	TRANS-AIR-IN-STATE	0	4,500	4,500	0	4,500	0	4,500
53 2712	AIR - OUT OF STATE	12,936	22,825	22,825	0	22,825	0	22,825
53 2714	TRANSP-GRND - IN STATE	25,802	48,809	48,809	0	48,809	0	48,809
53 2715	TRANS GRND-OUT STA,IN US	3,025	8,704	8,704	0	8,704	0	8,704
53 2721	LODGING-IN-STATE	7,992	31,022	31,022	0	31,022	0	31,022
53 2722	LODGING-OUT-OF-STATE	18,240	36,078	36,078	0	36,078	0	36,078
53 2724	MEALS - IN STATE	3,988	22,232	22,232	0	22,232	0	22,232
53 2725	MEALS-OUT OF STATE,IN US	3,421	11,496	9,205	0	9,205	0	9,205
53 2731	BD/NON-EMPLOYEE TRANSP	5,760	4,900	4,900	0	4,900	0	4,900
53 2732	BD/NON-EMPLOYEE SUBSIS	2,949	1,300	1,300	0	1,300	0	1,300
53 2811	TELEPHONE SERVICE	405,982	587,903	587,903	-49,000	538,903	-49,000	538,903
53 2812	TELECOMMUN DATA CHRG	0	88,395	88,395	0	88,395	0	88,395
53 2815	EMAIL AND CALENDALING	1,504	0	0	0	0	0	0
53 2817	INTERNET SERV PROV CHARG	95	0	0	0	0	0	0
53 2821	COMPUTER/DATA PROCESS SV	71,826	651,510	445,857	0	445,857	0	445,857
53 2822	MANAGED LAN SVC CHARGE	28,213	0	0	0	0	0	0
53 2840	POSTAGE	612,313	883,888	883,888	0	883,888	0	883,888
53 2850	PRINT,BIND,DUPLICATE	259,836	236,081	236,081	0	236,081	0	236,081
53 2860	ADVERTISING	13,440	9,236	9,236	0	9,236	0	9,236
53 2870	CABLE TV	2,305	0	0	0	0	0	0
53 2913	LIABILITY INSURANCEE	12,330	0	0	0	0	0	0
53 2920	BONDING	4,817	9,399	9,399	0	9,399	0	9,399
53 2930	REGISTRATION FEES	40,558	91,880	91,880	0	91,880	0	91,880
53 2941	EMP EDUCATION ASSIST PRO	3,003	0	0	0	0	0	0

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SUMMARY BY ACCOUNT

ATTACHMENT 3

3410 -
13410 Office of State Treasurer - General Fund

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
53 2942	OTHER EMP EDUCATIONAL EX	58,807	13,850	13,850	0	13,850	0	13,850
TOTAL PURCHASED SERVICES		8,292,046	8,352,000	8,234,871	-1,187,498	7,047,373	-1,187,498	7,047,373
53 3110	GENERAL OFFICE SUPPLIES	166,338	135,426	135,426	-2,333	133,093	-2,333	133,093
53 3120	DATA PROCESSING SUPPLIES	15,596	58,800	58,800	0	58,800	0	58,800
53 3150	SECURITY & SAFETY SUPPLI	288	100	100	0	100	0	100
53 3190	OTHER ADMIN. SUPPLIES	311	0	0	0	0	0	0
53 3720	EDUCATIONAL SUPPLIES	31	0	0	0	0	0	0
TOTAL SUPPLIES		182,564	194,326	194,326	-2,333	191,993	-2,333	191,993
53 4511	FURN-OFFICE	25,654	83,755	83,755	-6,833	76,922	-6,833	76,922
53 4521	OFFICE EQUIPMENT	16,627	22,809	25,100	-4,500	20,600	-4,500	20,600
53 4528	VOICE COMMUNICATIONS EQU	4,316	0	0	0	0	0	0
53 4530	OTHER DP EQUIPMENT	1,302,065	554,006	554,006	0	554,006	0	554,006
53 4539	OTHER EQUIPMENT	89	14,960	14,960	0	14,960	0	14,960
53 4711	OTHER COMPUTER SOFTWARE	149,233	155,875	155,875	0	155,875	0	155,875
53 4720	MAINFRAME SOFTWARE	94	0	0	0	0	0	0
TOTAL PROPERTY,PLANT & EQUIPMT		1,498,078	831,405	833,696	-11,333	822,363	-11,333	822,363
53 5332	BOND SALE EXPENSE $40	622,817	0	0	0	0	0	0
53 5660	SVC CHRG-SALE SURPLUS	36	0	0	0	0	0	0
53 5830	MEMBERSHIP DUES	38,093	26,967	26,967	0	26,967	0	26,967
53 5840	SERVICE & OTHER AWARDS	1,942	375	375	0	375	0	375
53 5890	OTHER ADMIN. EXPENSES	2,957	150	150	0	150	0	150
53 5900	OTHER EXPENSES	4,014	14,273	14,273	0	14,273	0	14,273
TOTAL OTHER EXPENSES & ADJUSTMEN		669,859	41,765	41,765	0	41,765	0	41,765
53 7190	VACANT POSITION RESERVE	0	-1,333	0	0	0	0	0
53 7199	1210 INV POSITION RESERV	0	0	572,326	0	572,326	0	572,326
TOTAL RESERVES		0	-1,333	572,326	0	572,326	0	572,326
53 8175	TRSFER OUT - IT	7,911,787	7,276,201	8,038,103	0	8,038,103	0	8,038,103

SUMMARY BY ACCOUNT

ATTACHMENT 3

3410 -
13410 Office of State Treasurer - General Fund

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
53 8190	TRSFR-OUT GENERAL ADMIN	1,538,031	1,772,908	1,809,960	-9,001	1,800,959	-9,001	1,800,959
53 8195	TRSFR-OUT DEPT ACCOUNTIN	1,521,527	1,535,225	1,535,225	0	1,535,225	0	1,535,225
53 8999	TRSFR TO SUBSEQUENT YEAR	1,544,081	0	0	0	0	0	0
TOTAL INTRAGOVERNMENTAL TRANSACT		12,515,426	10,584,334	11,383,288	-9,001	11,374,287	-9,001	11,374,287
TOTAL REQUIREMENTS		45,088,905	44,162,824	46,360,972	-1,210,165	45,150,807	-1,210,165	45,150,807
ESTIMATED RECEIPTS								
43 4160	ED FAC FIN FEES	850,544	938,366	938,366	0	938,366	0	938,366
43 4320	SALE OF SURPLUS PROPERTY	698	500	500	0	500	0	500
43 4420	RENTAL OF EQUIPMENT	1,375	0	0	0	0	0	0
43 7990	OTHER MISC. REVENUES	1,160	0	0	0	0	0	0
43 7995	OTHER MISC REV-GENERAL	732,971	24,958	1,424,152	0	1,424,152	0	1,424,152
43 8106	TRSFR-IN REIMB ADMIN SVC	1,538,032	1,770,965	1,808,017	-9,000	1,799,017	-9,000	1,799,017
43 8107	TRSFR-IN REIMB DEPT ACCT	1,521,527	1,532,078	1,532,078	0	1,532,078	0	1,532,078
43 8142	BOND SALE REIMBURSEMENT	622,817	0	0	0	0	0	0
43 8151	TRSFR-HOUSING FINANCE AG	0	49,001	49,001	0	49,001	0	49,001
43 8175	TRSFR-IN REIMB IT	7,911,787	7,270,517	8,032,419	0	8,032,419	0	8,032,419
53 8220	REIMBURSEMENT-DUAL EMPL.	28,269	0	0	0	0	0	0
53 8309	REIMB FY 09 CARRYFWD	1,143,010	0	0	0	0	0	0
53 8311	REIMB-ESCHEAT OPERATIONS	3,117,553	3,075,671	3,075,671	-798	3,074,873	-798	3,074,873
53 8320	REIMB. OF RETIREMENT EXP	17,060,902	18,921,880	18,921,880	-1,197,681	17,724,199	-1,197,681	17,724,199
53 8333	REIMB-STATE PERSONNEL	0	1,999	1,999	0	1,999	0	1,999
53 8350	TRANSFER-IN HWTFC MOU	0	36,000	36,000	0	36,000	0	36,000
53 8363	REIMB PR YR EXPENDITURES	36,269	0	0	0	0	0	0
53 8399	MOTOR FLEET REBATE	6	0	0	0	0	0	0
TOTAL RECEIPTS		34,566,920	33,621,935	35,820,083	-1,207,479	34,612,604	-1,207,479	34,612,604
NET APPROPRIATION		10,521,985	10,540,889	10,540,889	-2,686	10,538,203	-2,686	10,538,203

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Department of State Treasurer

Budget Summary

Fund	Division Name	2011-2012 Recommended Appropriation	2012-2013 Recommended Appropriation	Number of Positions
1110	General Administration	-0-	-0-	23.00
1130	Unclaimed Property & Escheats Division	-0-	-0-	29.00
1150	Information Services	-0-	-0-	47.50
1210	Investment Management Division	$ 4,016,831	$ 4,016,831	27.00
1310	State & Local Government Finance Division	$ 3,324,834	$ 3,324,834	36.00
1410	Retirement Systems Division	-0-	-0-	163.00
1510	Financial Operations Division	$ 3,196,538	$ 3,196,538	36.00

Total Appropriation = $ 10,538,203*
Total Appropriated Positions = 69

(Total Positions = 361.50)

***The total appropriation (including the appropriated positions salaries and fringe) is restored to the General Fund through other funding sources. The net General Fund appropriation to support the Department of State Treasurer is therefore -0-.**

B0104: DST Vacancy Report - Run Date 2/18/2011

Organizational Unit		Employee Group	Position		Date Vacant	Cal Mth/Yr FEB 2011 Budget Amount $	FEB 2011 Number of Days Vacant	FEB 2011 Position FTE	FEB 2011 FT FTE Positions
20000974	ST INVEST Equity	EPA Employees	60009065	Director of Public Equities		***FILLED***			
20000974	ST INVEST Equity	SPA Employees	60009064	Portfolio Manager	04/01/2009	76,662.00	688	1.0000	1.0000
20000977	ST INVEST Alternatives	SPA Employees	60009071	Portfolio Manager		***PENDING***			
20000978	ST Investments Administration	SPA Employees	60009059	Financial Analyst		***FILLED***			
20000978	ST Investments Administration	SPA Employees	60009061	Business Services Coordinator	02/10/2011	46,750.00	8	1.0000	1.0000
20000982	ST Retirement Administration	EPA Employees	60009119	Retirement Systems Director	01/01/2011	127,217.00	48	1.0000	1.0000
20000982	ST Retirement Administration	EPA Employees	60009164	Special Assistant to the Director	11/07/2010	70,548.00	103	1.0000	1.0000
20000982	ST Retirement Administration	SPA Employees	60009118	Manager, Supplemental Retirement Plans	01/01/2011	49,071.00	48	1.0000	1.0000
20000982	ST Retirement Administration	SPA Employees	60009241	Business Manager	06/14/2010	42,093.00	249	1.0000	1.0000
20000989	ST RETIRE OPS Ed, Outreach & Mmbr Mtgs	SPA Employees	60009195	Retirement Benefits Counselor	01/01/2011	39,285.00	48	1.0000	1.0000
20000992	ST RETIRE OPS Call Center	SPA Employees	60009126	Administrative Support Specialist	02/01/2010	26,300.00	382	1.0000	1.0000
20000992	ST RETIRE OPS Call Center	SPA Employees	60009127	Retirement Benefits Counselor	11/01/2010	44,700.00	109	1.0000	1.0000
20000992	ST RETIRE OPS Call Center	SPA Employees	60009193	Retirement Call Center Manager	04/30/2010	40,958.00	294	1.0000	1.0000
20000992	ST RETIRE OPS Call Center	SPA Employees	60009219	Retirement Benefits Counselor	01/03/2011	35,447.00	46	1.0000	1.0000
20000994	ST RETIRE OPS BENEFITS Death	SPA Employees	60009176	Administrative Support Associate	11/22/2010	30,350.00	88	1.0000	1.0000
20000999	ST RETIRE Enrollment & Imaging	SPA Employees	60009160	Administrative Support Associate	12/04/2010	27,782.00	76	1.0000	1.0000
20000999	ST RETIRE Enrollment & Imaging	SPA Employees	60009163	Administrative Support Associate	12/20/2010	23,814.00	60	1.0000	1.0000
20001002	ST FINOPS Accounting	EPA Employees	60009291	Deputy Director - Financial Operations	01/01/2011	80,757.00	48	1.0000	1.0000
20001004	ST FINOPS Banking Operations	SPA Employees	60009287	Banking Specialist	12/01/2010	28,083.00	79	1.0000	1.0000
20001004	ST FINOPS Banking Operations	SPA Employees	60009292	Banking Specialist	02/22/2010	27,100.00	361	1.0000	1.0000
20001005	ST Financial Operations Administration	EPA Employees	60009305	Deputy Treasurer/Chief Financial Officer	10/01/2010	108,410.00	140	1.0000	1.0000
20001007	ST Health&Wellness Trust Fund Commission	EPA Employees	60009315	Grants Manager	12/01/2010	46,238.00	79	1.0000	1.0000
20001007	ST Health&Wellness Trust Fund Commission	EPA Employees	60009318	Grants Manager	01/01/2011	63,705.00	48	1.0000	1.0000
20001012	ST IT Security & Business Continuity	SPA Employees	60009370	Networking Analyst		***PENDING***			
21003000	ST ADMIN Internal Audit	SPA Employees	60009214	Auditor	01/01/2011	59,634.00	48	1.0000	1.0000
21003000	ST ADMIN Internal Audit	SPA Employees	65011781	Internal Auditor		***PENDING***			
21003000	ST ADMIN Internal Audit	SPA Employees	65011782	Internal Auditor	07/01/2010	58,700.00	232	1.0000	1.0000
Overall Result						1,153,604.00	X	22.0000	22.0000

State Treasurer Retirement Eligible

30+ Years of Service			Length of Service	
Organizational Unit	**Position #**	**Position Title**	**Months**	**Years**
FINOPS ACCT Dept & Debt Acct	60009302	Payroll Officer	431	35.9
FINOPS Banking Reconciliation	60009298	Banking Specialist	373	31.1
INVEST Fixed Income	60009056	Portfolio Manager	382	31.8
IT TECHSVS Desktop Support	60009348	Techology Support Technician	364	30.3
LOCGOVFIN Debt Mgmt	60009097	Administrative Support Specialist	453	37.8
LOCGOVFIN Debt Mgmt	60009077	Financial Analyst	404	33.7
LOCGOVFIN Debt Mgmt	60009084	Financial Analyst	452	37.7
RETIRE OPS Member Records	60009110	Administrative Support Associate	370	30.8
RETIRE OPS Member Records	60009110	Administrative Support Associate	413	34.4
RETIRE OPS Member Records	60009158	Retirement Benefits Coordinator	369	30.8
RETIRE Policy, Comm & Trng	60009178	Administrative Officer III	409	34.1
RETIRE Policy, Comm & Trng	60009121	Deputy - Director - Retirement Ops	379	31.6
Unclaimed Property Admin	60009034	Administrative Officer II	426	35.5
Unclaimed Property Admin	60009027	Administrative Support Specialist	395	32.9
Unclaimed Property Admin	60009030	Administrative Support Specialist	410	34.2
Unclaimed Property Admin	60009048	Administrative Support Specialist	379	31.6
		Total employees	**16**	

State Treasurer Retirement Eligible

27+ Years of Service			Length of Service	
Organizational Unit	**Position #**	**Position Title**	**Months**	**Years**
FINOPS Banking Operations	60009296	Banking Specialist	356	29.7
RETIRE OPS Member Records	60009256	Administrative Support Supervisor	356	29.7
RETIRE OPS Processing	60009273	Administrative Support Specialist	355	29.6
ST Local Government Finance Adm	60009076	Director, State and Local Gov Finance	337	28.1
ST RETIRE OPS Ed, Outreach & Mmbr	60009230	Retirement Benefits Counselor	324	27.0
ST RETIRE OPS Member Records	60009132	Administrative Support Specialist	328	27.3
Unclaimed Property Admin	60009023	Administrative Support Associate	329	27.4
ST Health&Well Trust Fund Comm	60009312	Finance Director	350	29
		Total employees	**8**	

NORTH CAROLINA DEPARTMENT OF STATE TREASURER

General Administration
Fund Code 1110

- Statutory Authority
  General Statute Chapter 147

- Major Responsibilities
  Executive Management – Includes activities associated with the development and implementation of department policies and procedures, management of department operations, legislative liaison, execution of the department's mission, contingency planning, and continuity of operations in the event of disaster.

  Human Resource Management - Oversees the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.

  Legal Services - Provides legal representation and litigation functions for the department.

  Communications & Special Programs - Exchange information and communication between the department, citizens, and stakeholders; establish and promote media relations; prepare and publish press releases and other publications; and coordinate events and conference delivery.

  Internal Audit - Provide independent assessments to department management; ensure operations and programs comply with applicable laws and regulations; prevent inefficiency, fraud, and abuse; analyze exposure to risk and determine appropriate countermeasures; and ensure accounting, administrative, and other information systems have the proper controls.

- Position Summary
  23.00 FTE (receipt supported)

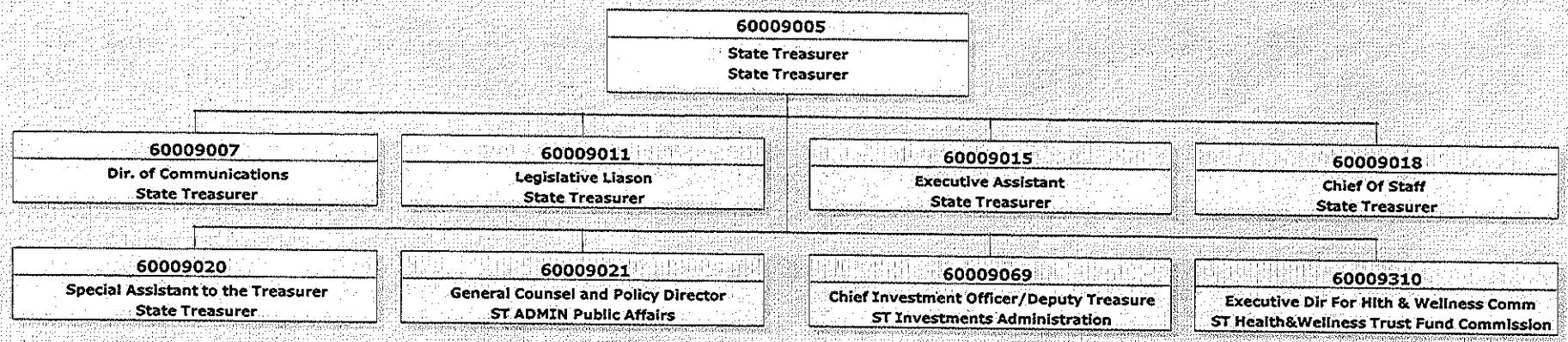
- Vacancy List from BEACON
  1 Vacancy for this division – Position #65011782

- Retirement Eligibility:
  Position count for employees **within three years** of retirement
  -0- employees from this division

  Position count for employees **with thirty years** of service
  -0- employees from this division

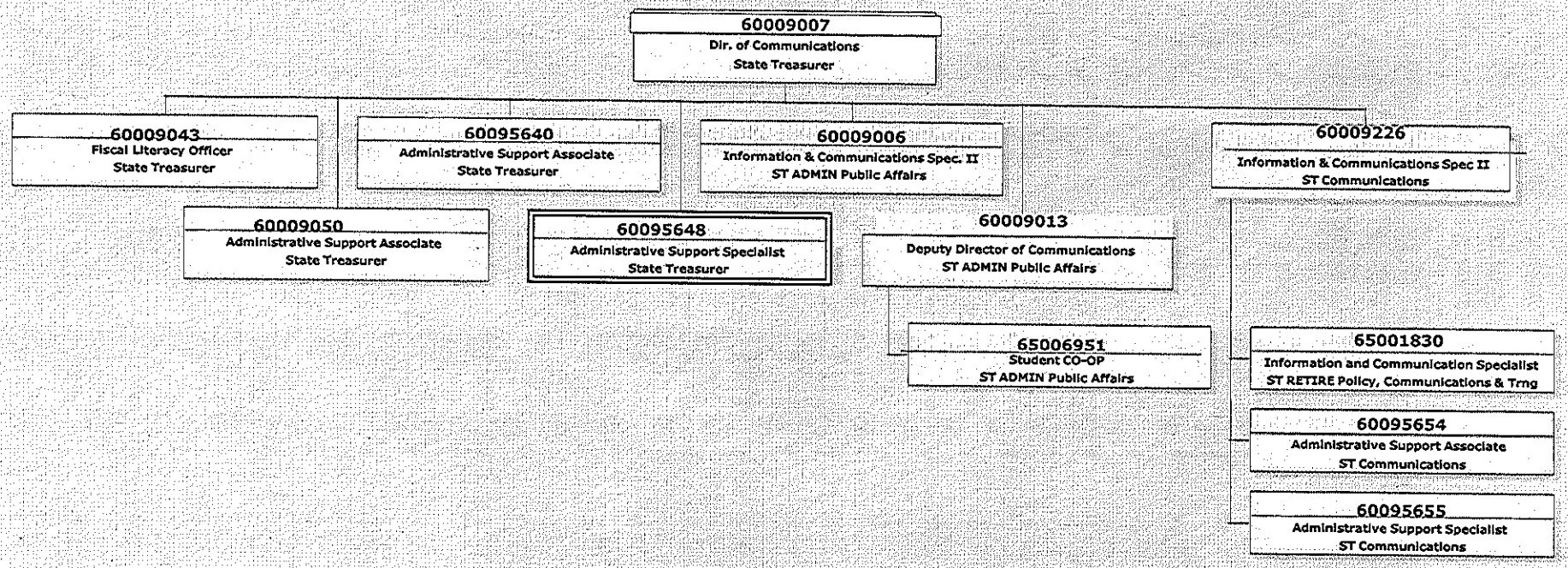
North Carolina
Department of State Treasurer

State Treasurer Administration



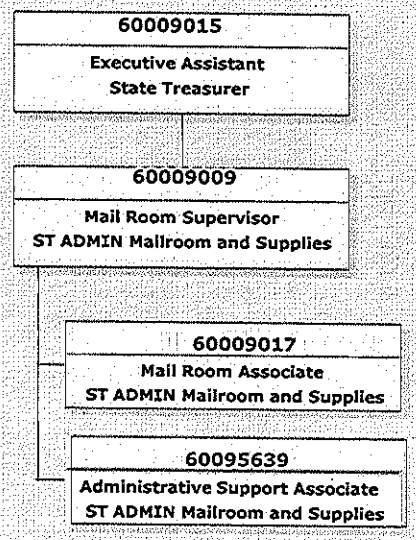
North Carolina
Department of State Treasurer

Communications



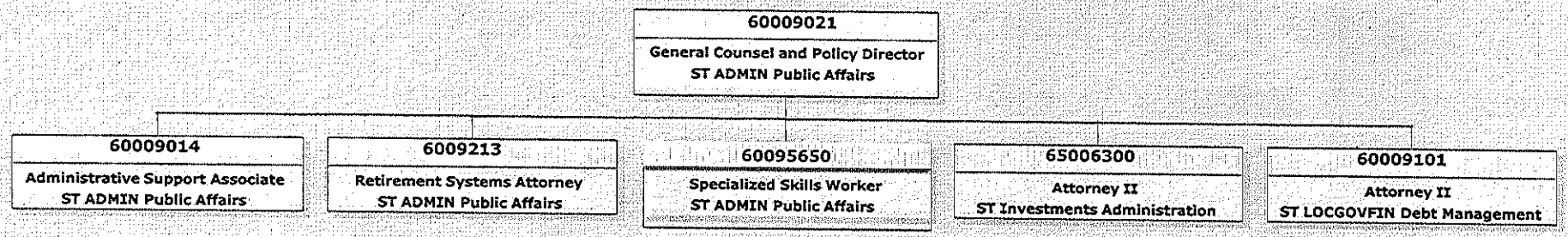
North Carolina
Department of State Treasurer

Administration Support



North Carolina
Department of State Treasurer

Legal Counsel



North Carolina
Department of State Treasurer

Administration

60009018
Chief Of Staff
State Treasurer

- **60009113** — Administrative Support Associate, State Treasurer
- **60095642** — Administrative Support Associate, State Treasurer
- **60095643** — Administrative Support Associate, State Treasurer
- **65009255** — Internal Systems Consultant II, State Treasurer
- **60009008** — Hr Director, ST ADMIN Human Resources
- **60009049** — Administrator, ST Unclaimed Property Administration
- **60009119** — Retirement Systems Director, ST Retirement Administration
- **60095652** — Metrics Specialist, ST RETIRE OPS Technology Support
- **60009305** — Deputy Treasurer/Chief Financial Officer, ST Financial Operations Administration
- **60009330** — Deputy Treasurer - Chief Info Officer, ST Information Technology Administration
- **60009076** — Director, State and Local Gov Finance, ST Local Government Finance Adm
- **65011778** — Director of Internal Audit, ST ADMIN Internal Audit

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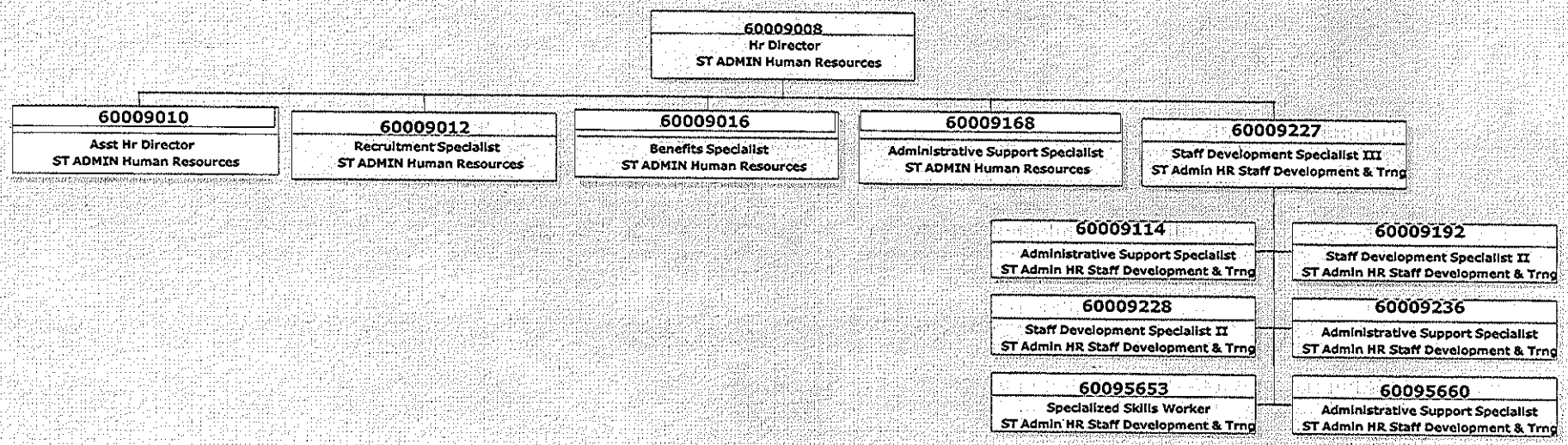
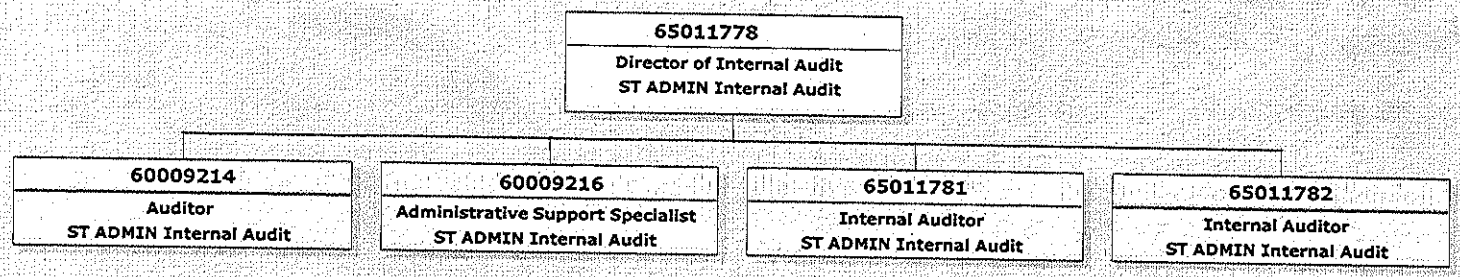
North Carolina
Department of State Treasurer

Human Resources



North Carolina
Department of State Treasurer

Internal Audit



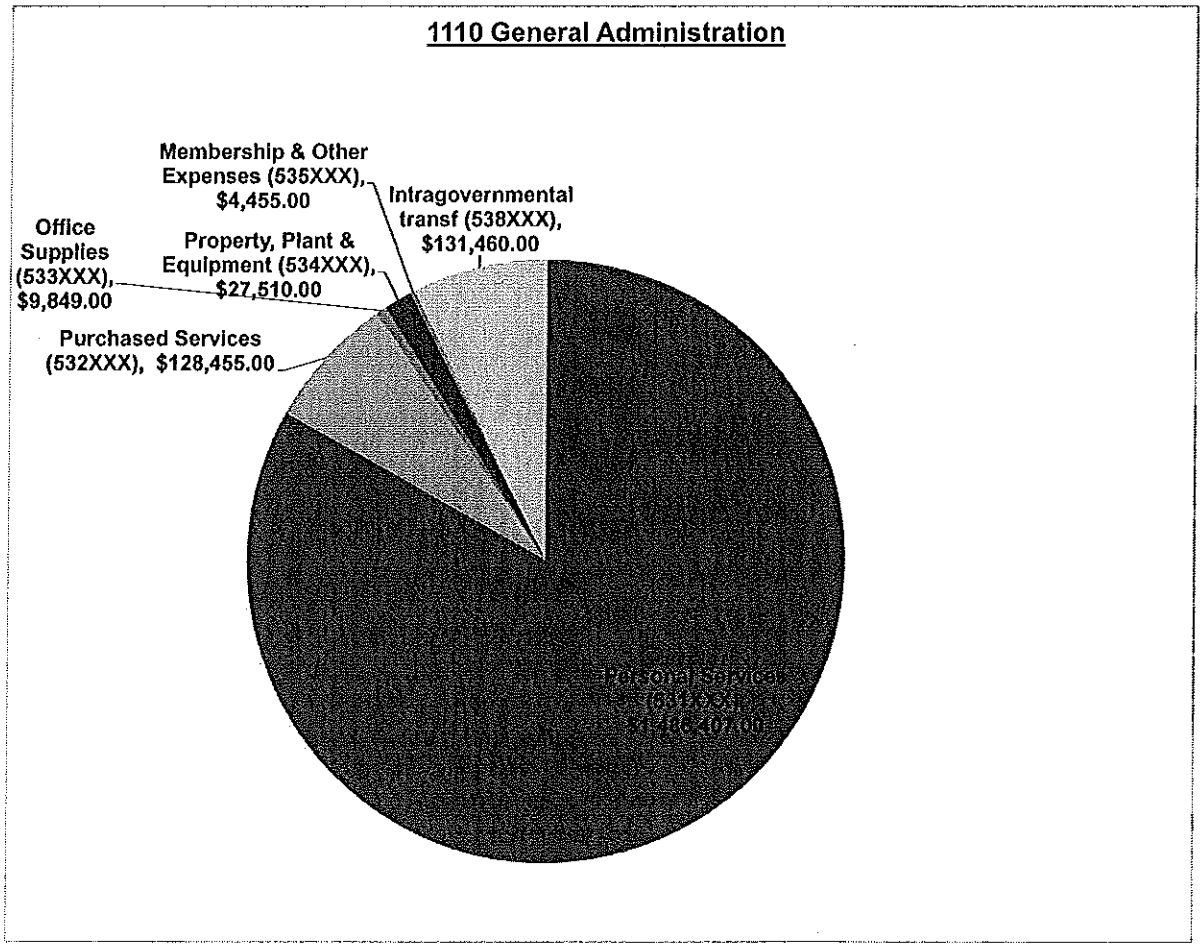
Department of State Treasurer

Continuation Budget

Fiscal Data

	2009 - 2010 Actual	2010 - 2011 Certified	2010 - 2011 Authorized	2011 - 2012 Recommended	2012 - 2013 Recommended
13410-1110 Gen Administration					
Total Requirements	$ 1,553,248.00	$ 1,788,136.00	$ 1,825,188.00	$ 1,816,188.00	$ 1,816,188.00
Receipts	$ 1,553,250.00	$ 1,788,136.00	$ 1,825,188.00	$ 1,816,188.00	$ 1,816,188.00
General Fund Appropriation	$ (2.00)	$ -	$ -	$ -	$ -
Less: Recommmended Adjustment	$ -	$ -	$ -	$ -	$ -
Adjusted General fund Appropriation	$ (2.00)	$ -	$ -	$ -	$ -

Expenditures by Category:	
Personal Services (531XXX)	$ 1,486,407.00
Purchased Services (532XXX)	$ 128,455.00
Office Supplies (533XXX)	$ 9,849.00
Property, Plant & Equipment (534XXX)	$ 27,510.00
Membership & Other Expenses (535XXX)	$ 4,455.00
Intragovernmental transf (538XXX)	$ 131,460.00
Total Requirement	$ 1,788,136.00



3410 -
13410 Office of State Treasurer - General Fund
1110 General Administration

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
REQUIREMENTS								
53 1112	EPA REGULAR SALARIES-REC	484,112	520,546	319,663	0	319,663	0	319,663
53 1142	SEC/COUNCIL OF STATE REC	123,198	123,199	123,199	0	123,199	0	123,199
53 1212	SPA-REG SALARIES-RECPT	263,687	519,301	752,184	0	752,184	0	752,184
53 1312	REG(N S) TEMP WAGES-RECP	14,689	0	0	0	0	0	0
53 1412	OT PAY - RECEIPTS	88	0	0	0	0	0	0
53 1422	HOLIDAY PAY-RECEIPTS	391	0	0	0	0	0	0
53 1452	SPA EMPLOYEES ON LOAN-RE	12,375	0	0	0	0	0	0
53 1462	EPA&SPA-LONGVTY PAY-REC	6,268	10,273	10,273	0	10,273	0	10,273
53 1512	SOCIAL SEC CONTRIB-REC	65,531	88,973	91,421	0	91,421	0	91,421
53 1522	REG RETIRE CONTRIB-REC	76,811	124,056	126,660	0	126,660	0	126,660
53 1562	MED INS CONTRIB-RECPTS	69,074	100,059	100,059	0	100,059	0	100,059
53 1576	FLEXIBLE SPENDING SAVING	1,326	0	0	0	0	0	0
TOTAL PERSONAL SERVICES		1,117,550	1,486,407	1,523,459	0	1,523,459	0	1,523,459
53 2110	LEGAL SERVICES	9,378	0	0	0	0	0	0
53 2120	FINAN/AUDIT SERVICES	0	300	300	0	300	0	300
53 2170	CONTRACTED PERSONAL SERV	21,364	0	0	0	0	0	0
53 2186	SECURITY SERVICES	69	0	0	0	0	0	0
53 2199	MISC CONTRACTUAL SERVICE	54,498	0	0	0	0	0	0
53 2310	REPAIRS-BUILDINGS	1,834	0	0	0	0	0	0
53 2333	REPAIRS-OTHER EQUIPMENT	894	0	0	0	0	0	0
53 2430	MAINT AGREEMENT-EQUIP	23,274	8,646	8,646	0	8,646	0	8,646
53 2443	MAINT AGRMT-OTHER DP EQP	125	0	0	0	0	0	0
53 2512	RENTAL BUILDINGS/OFFICES	44,505	54,338	54,338	0	54,338	0	54,338
53 2524	RENT/LEASE-GEN OFF EQUIP	2,514	2,134	2,134	0	2,134	0	2,134
53 2711	TRANS-AIR-IN-STATE	0	2,000	2,000	0	2,000	0	2,000
53 2712	AIR - OUT OF STATE	6,989	6,000	6,000	0	6,000	0	6,000
53 2714	TRANSP-GRND - IN STATE	9,740	10,124	10,124	0	10,124	0	10,124
53 2715	TRANS GRND-OUT STA,IN US	1,206	3,500	3,500	0	3,500	0	3,500
53 2721	LODGING-IN-STATE	408	1,200	1,200	0	1,200	0	1,200
53 2722	LODGING-OUT-OF-STATE	8,188	5,000	5,000	0	5,000	0	5,000
53 2724	MEALS - IN STATE	404	2,263	2,263	0	2,263	0	2,263
53 2725	MEALS-OUT OF STATE,IN US	1,500	1,080	1,080	0	1,080	0	1,080
53 2811	TELEPHONE SERVICE	20,692	17,450	17,450	0	17,450	0	17,450
53 2817	INTERNET SERV PROV CHARG	39	0	0	0	0	0	0
53 2840	POSTAGE	8,200	5,067	5,067	0	5,067	0	5,067
53 2850	PRINT,BIND,DUPLICATE	12,202	4,799	4,799	0	4,799	0	4,799
53 2860	ADVERTISING	2,843	0	0	0	0	0	0
53 2870	CABLE TV	1,650	0	0	0	0	0	0
53 2913	LIABILITY INSURANCEE	1,977	0	0	0	0	0	0

3410 -
13410 Office of State Treasurer - General Fund
1110 General Administration

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
REQUIREMENTS								
53 2920	BONDING	0	1,654	1,654	0	1,654	0	1,654
53 2930	REGISTRATION FEES	8,687	2,650	2,650	0	2,650	0	2,650
53 2942	OTHER EMP EDUCATIONAL EX	295	250	250	0	250	0	250
TOTAL PURCHASED SERVICES		243,475	128,455	128,455	0	128,455	0	128,455
53 3110	GENERAL OFFICE SUPPLIES	11,337	9,049	9,049	0	9,049	0	9,049
53 3120	DATA PROCESSING SUPPLIES	237	800	800	0	800	0	800
53 3150	SECURITY & SAFETY SUPPLI	255	0	0	0	0	0	0
53 3190	OTHER ADMIN. SUPPLIES	288	0	0	0	0	0	0
TOTAL SUPPLIES		12,117	9,849	9,849	0	9,849	0	9,849
53 4511	FURN-OFFICE	7,050	7,350	7,350	-4,500	2,850	-4,500	2,850
53 4521	OFFICE EQUIPMENT	7,530	5,500	5,500	-4,500	1,000	-4,500	1,000
53 4528	VOICE COMMUNICATIONS EQU	569	0	0	0	0	0	0
53 4530	OTHER DP EQUIPMENT	61	9,700	9,700	0	9,700	0	9,700
53 4539	OTHER EQUIPMENT	0	4,960	4,960	0	4,960	0	4,960
53 4720	MAINFRAME SOFTWARE	94	0	0	0	0	0	0
TOTAL PROPERTY,PLANT & EQUIPMT		15,304	27,510	27,510	-9,000	18,510	-9,000	18,510
53 5830	MEMBERSHIP DUES	5,729	3,020	3,020	0	3,020	0	3,020
53 5840	SERVICE & OTHER AWARDS	43	35	35	0	35	0	35
53 5890	OTHER ADMIN. EXPENSES	283	0	0	0	0	0	0
53 5900	OTHER EXPENSES	10	1,400	1,400	0	1,400	0	1,400
TOTAL OTHER EXPENSES & ADJUSTMEN		6,065	4,455	4,455	0	4,455	0	4,455
53 8175	TRSFER OUT - IT	126,178	131,460	131,460	0	131,460	0	131,460
53 8999	TRSFR TO SUBSEQUENT YEAR	32,559	0	0	0	0	0	0
TOTAL INTRAGOVERNMENTAL TRANSACT		158,737	131,460	131,460	0	131,460	0	131,460

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3410 -
13410 Office of State Treasurer - General Fund
1110 General Administration

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
TOTAL REQUIREMENTS		1,553,248	1,788,136	1,825,188	-9,000	1,816,188	-9,000	1,816,188
ESTIMATED RECEIPTS								
43 4320	SALE OF SURPLUS PROPERTY	136	0	0	0	0	0	0
43 4420	RENTAL OF EQUIPMENT	1,375	0	0	0	0	0	0
43 7990	OTHER MISC. REVENUES	385	0	0	0	0	0	0
43 8106	TRSFR-IN REIMB ADMIN SVC	1,538,032	1,770,965	1,808,017	-9,000	1,799,017	-9,000	1,799,017
53 8220	REIMBURSEMENT-DUAL EMPL.	13,322	0	0	0	0	0	0
53 8350	TRANSFER-IN HWTFC MOU	0	17,171	17,171	0	17,171	0	17,171
TOTAL RECEIPTS		1,553,250	1,788,136	1,825,188	-9,000	1,816,188	-9,000	1,816,188
NET APPROPRIATION		-2	0	0	0	0	0	0

NORTH CAROLINA DEPARTMENT OF STATE TREASURER

Unclaimed Property and Escheats Division
Fund Code 1130

- Statutory Authority
  Article 9, Section 10 of the North Carolina Constitution and G.S. Chapter 116B

- Major Responsibilities
  The Department of State Treasurer oversees and maintains the State's database of unclaimed property. By law, these funds are escheated, or turned over, to the department for safekeeping. The Unclaimed Property and Escheats Division is responsible for recovering and returning such property to all rightful owners.

  Currently the unclaimed property fund is valued at nearly $400 million. This is a combination of unclaimed property collected from businesses and interest earnings from the investment of the fund. The interest earned on these funds is sent to the State Education Assistance Authority (SEAA) for student loans and grants.

  The funds in this program were previously held by financial institutions, insurance companies, businesses and other government agencies in the form of wages, utility deposits, insurance policy proceeds, and other sources of funds. Property is considered unclaimed when the apparent owner fails to communicate interest in it for a period of time called the dormancy period. Once the property has met its dormancy limit and the holder has made a good faith effort to locate an apparent owner, any funds they are holding are escheated to the State Treasurer's Office. Upon receipt of this information, the Unclaimed Property and Escheats Division works to locate the owners by various means, including listing names on the website, earning media coverage through television and newspapers, and mailing lists to Clerks of Court. Additionally the Unclaimed Property Division staff attends outreach events, such as the North Carolina State Fair, various civic organizations and many other events throughout the State to promote public awareness about the program.

- Key Statistics

Total Funds Escheated

2007-2008	$111,952,092	46% growth
2008-2009	$101,236,002	
2009-2010	$163,293,449	

Total Amount Refunded

2007-2008	$28,340,521	71% growth
2008-2009	$39,372,068	
2009-2010	$48,365,264	

Total Number of Claims Refunded

2007-2008	24,608	57% growth
2008-2009	38,862	
2009-2010	38,583	

NORTH CAROLINA DEPARTMENT OF STATE TREASURER

Unclaimed Property and Escheats Division
Fund Code 1130

- Position Summary
  29.00 FTE (receipt supported)

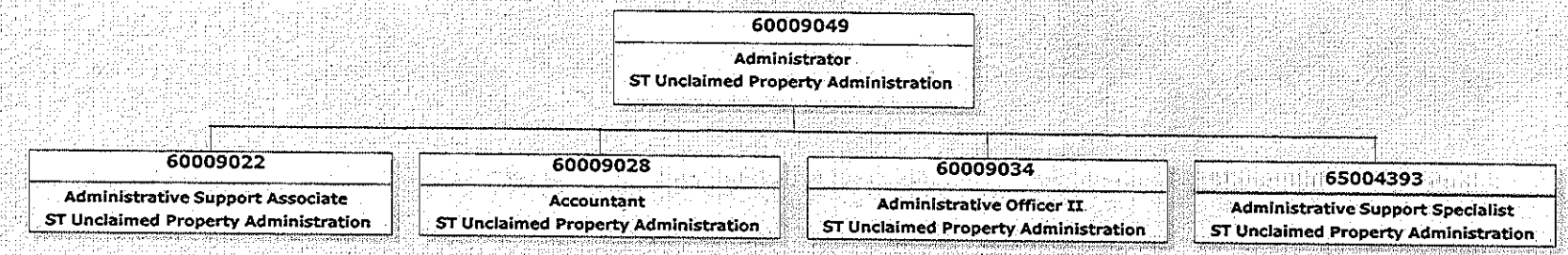
- Vacancy List from BEACON
  -0- Vacancies for this division

- Retirement Eligibility:
  Position count for employees **within three years** of retirement
  1 employee from this division – Position #60009023

  Position count for employees **with thirty years** of service
  4 employees from this division – Position #60009034, 60009027, 60009030, and 60009048

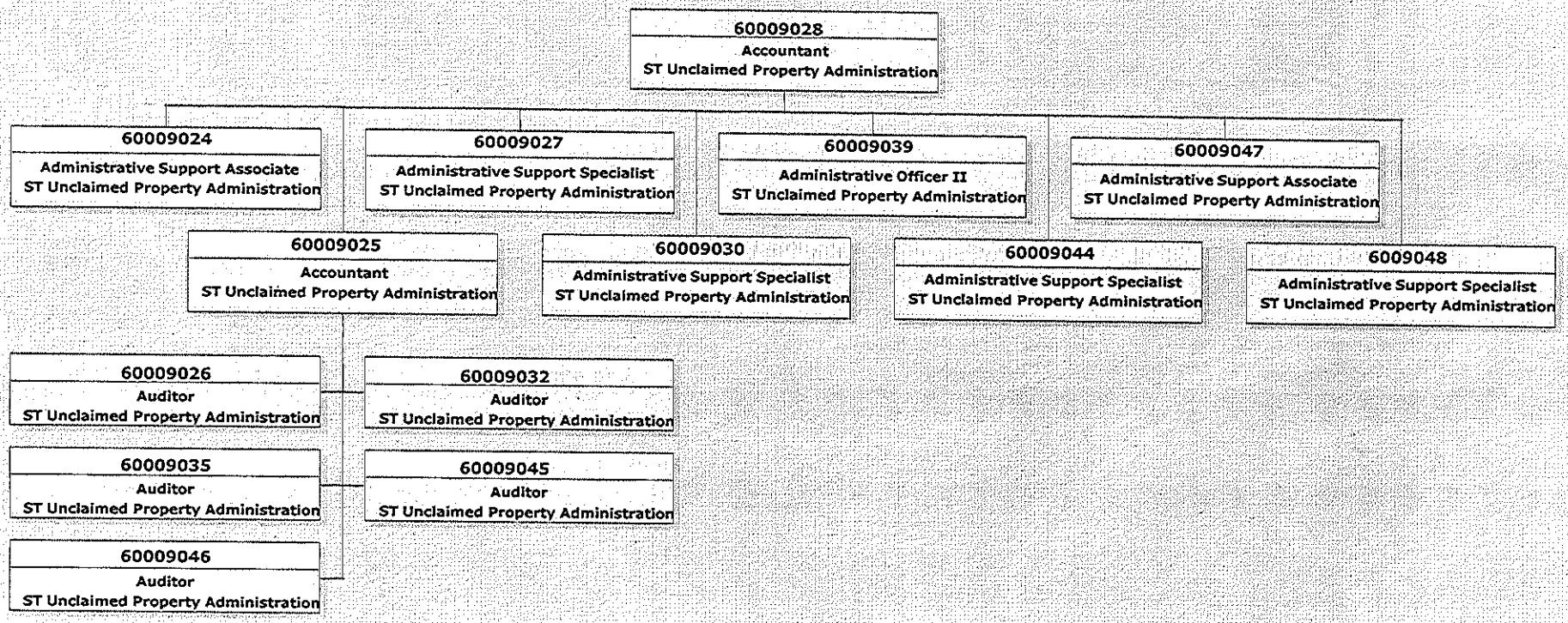
North Carolina
Department of State Treasurer

Unclaimed Property Administration



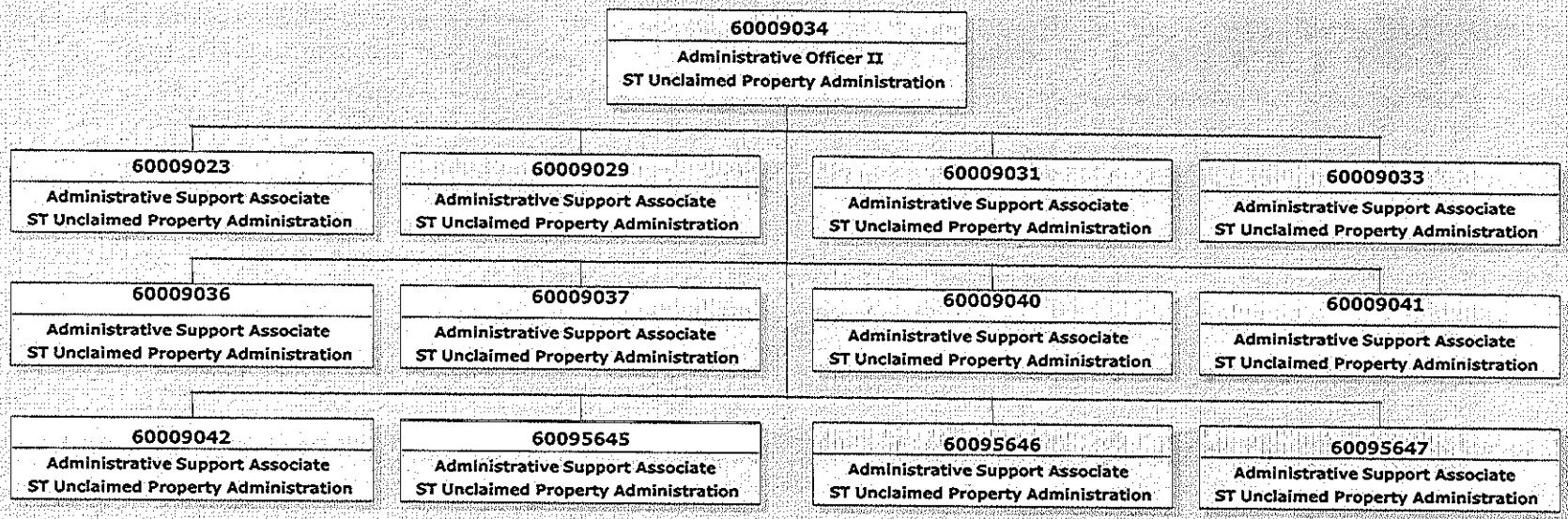
North Carolina
Department of State Treasurer

Unclaimed Property



North Carolina
Department of State Treasurer

Unclaimed Property

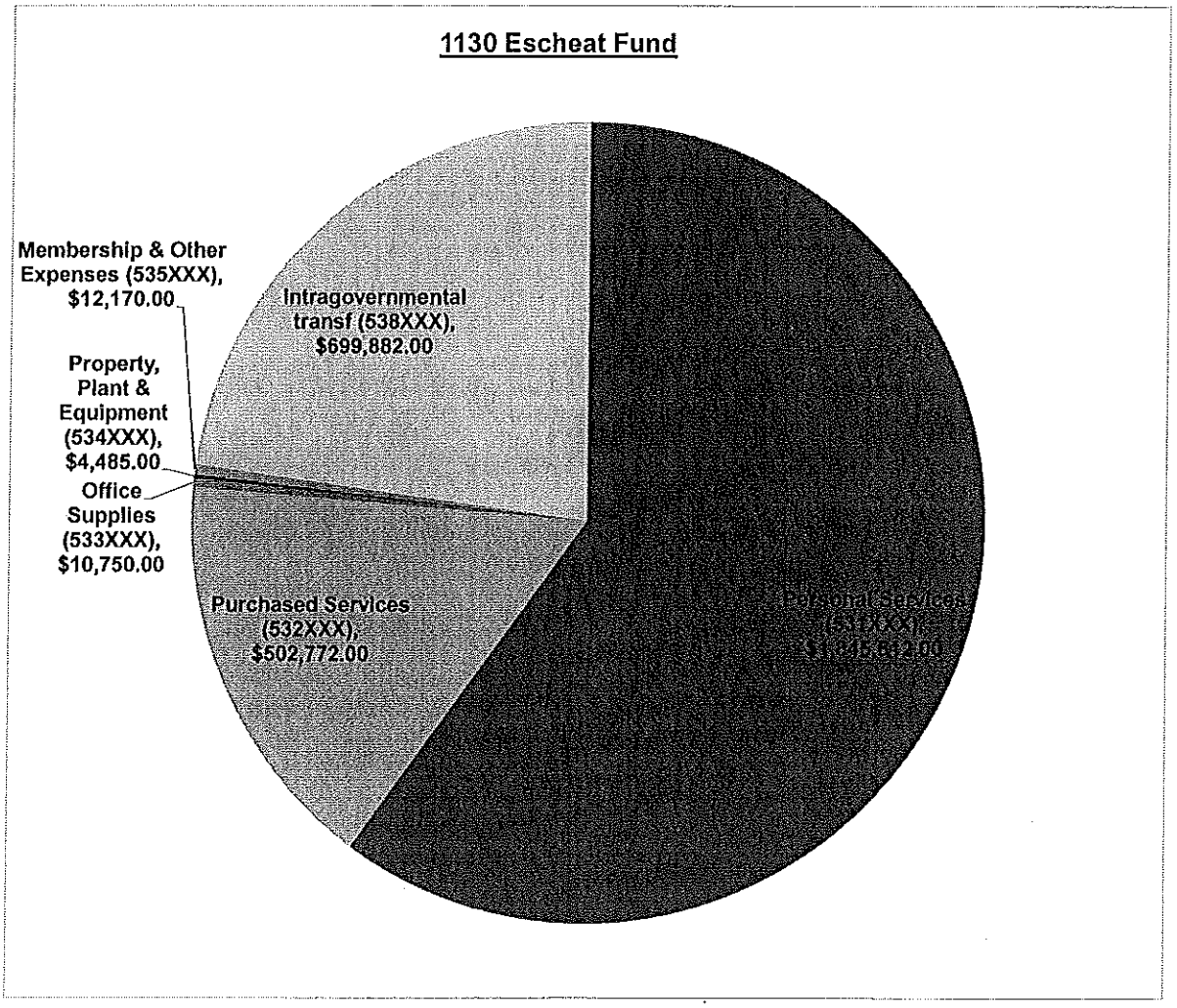


Department of State Treasurer
Continuation Budget
Fiscal Data

	2009 - 2010 Actual	2010 - 2011 Certified	2010 - 2011 Authorized	2011 - 2012 Recommended	2012 - 2013 Recommended
13410-1130 Escheat Fund Admin.					
Total Requirements	$ 3,117,551.00	$ 3,075,671.00	$ 3,075,671.00	$ 3,074,873.00	$ 3,074,873.00
Receipts	$ 3,117,553.00	$ 3,075,671.00	$ 3,075,671.00	$ 3,074,873.00	$ 3,074,873.00
General Fund Appropriation	$ (2.00)	$ -	$ -	$ -	$ -
Less: Recommmended Adjustment	$ -	$ -	$ -	$ -	$ -
Adjusted General fund Appropriation	$ (2.00)	$ -	$ -	$ -	$ -

Expenditures by Category:

Personal Services (531XXX)	$ 1,845,612.00
Purchased Services (532XXX)	$ 502,772.00
Office Supplies (533XXX)	$ 10,750.00
Property, Plant & Equipment (534XXX)	$ 4,485.00
Membership & Other Expenses (535XXX)	$ 12,170.00
Intragovernmental transf (538XXX)	$ 699,882.00
Total Requirement	$ 3,075,671.00



3410 -
13410 Office of State Treasurer - General Fund
1130 Escheat Fund Administration

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
REQUIREMENTS								
53 1112	EPA REGULAR SALARIES-REC	135,610	186,899	183,241	0	183,241	0	183,241
53 1212	SPA-REG SALARIES-RECPT	1,030,181	1,062,642	1,063,461	0	1,063,461	0	1,063,461
53 1312	REG(N S) TEMP WAGES-RECP	0	179,428	179,428	0	179,428	0	179,428
53 1412	OT PAY - RECEIPTS	277	0	0	0	0	0	0
53 1422	HOLIDAY PAY-RECEIPTS	-43	0	0	0	0	0	0
53 1462	EPA&SPA-LONGVTY PAY-REC	20,831	25,272	25,272	0	25,272	0	25,272
53 1512	SOCIAL SEC CONTRIB-REC	87,009	110,815	110,598	0	110,598	0	110,598
53 1522	REG RETIRE CONTRIB-REC	103,849	133,915	133,684	0	133,684	0	133,684
53 1562	MED INS CONTRIB-RECPTS	126,021	146,391	146,391	0	146,391	0	146,391
53 1576	FLEXIBLE SPENDING SAVING	2,408	0	0	0	0	0	0
53 1631	WRKER COMP-MED PAYMENTS	1,710	250	250	0	250	0	250
TOTAL PERSONAL SERVICES		1,507,853	1,845,612	1,842,325	0	1,842,325	0	1,842,325
53 2110	LEGAL SERVICES	111,667	106,772	106,772	0	106,772	0	106,772
53 2120	FINAN/AUDIT SERVICES	0	1,200	1,200	0	1,200	0	1,200
53 2170	CONTRACTED PERSONAL SERV	345,776	17,800	17,800	0	17,800	0	17,800
53 2199	MISC CONTRACTUAL SERVICE	249,534	152,442	152,442	0	152,442	0	152,442
53 2430	MAINT AGREEMENT-EQUIP	3,375	50	50	0	50	0	50
53 2512	RENTAL BUILDINGS/OFFICES	105,444	105,445	105,445	0	105,445	0	105,445
53 2513	RENT/LEASE OTHER FACIL.	1,348	0	0	0	0	0	0
53 2712	AIR - OUT OF STATE	0	1,200	1,200	0	1,200	0	1,200
53 2714	TRANSP-GRND - IN STATE	958	9,450	9,450	0	9,450	0	9,450
53 2715	TRANS GRND-OUT STA,IN US	0	800	800	0	800	0	800
53 2721	LODGING-IN-STATE	2,149	13,500	13,500	0	13,500	0	13,500
53 2722	LODGING-OUT-OF-STATE	0	2,500	2,500	0	2,500	0	2,500
53 2724	MEALS - IN STATE	1,050	8,630	8,630	0	8,630	0	8,630
53 2725	MEALS-OUT OF STATE,IN US	0	520	520	0	520	0	520
53 2811	TELEPHONE SERVICE	51,304	43,652	43,652	0	43,652	0	43,652
53 2821	COMPUTER/DATA PROCESS SV	0	10,088	10,088	0	10,088	0	10,088
53 2840	POSTAGE	43,205	19,143	19,143	0	19,143	0	19,143
53 2850	PRINT,BIND,DUPLICATE	4,389	2,450	2,450	0	2,450	0	2,450
53 2860	ADVERTISING	622	4,250	4,250	0	4,250	0	4,250
53 2913	LIABILITY INSURANCEE	3,320	0	0	0	0	0	0
53 2920	BONDING	2,830	580	580	0	580	0	580
53 2930	REGISTRATION FEES	1,175	200	200	0	200	0	200
53 2942	OTHER EMP EDUCATIONAL EX	0	2,100	2,100	0	2,100	0	2,100
TOTAL PURCHASED SERVICES		928,146	502,772	502,772	0	502,772	0	502,772
53 3110	GENERAL OFFICE SUPPLIES	14,758	10,750	10,750	0	10,750	0	10,750

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3410 -
13410 Office of State Treasurer - General Fund
1130 Escheat Fund Administration

CODE (1)	DESCRIPTION (2)	2009-2010 ACTUAL (3)	2010-2011 CERTIFIED (4)	2010-2011 AUTHORIZED (5)	2011-2012 INCR/DECR (6)	2011-2012 TOTAL (7)	2012-2013 INCR/DECR (8)	2012-2013 TOTAL (9)
REQUIREMENTS								
53 3120	DATA PROCESSING SUPPLIES	450	0	0	0	0	0	0
TOTAL SUPPLIES		15,208	10,750	10,750	0	10,750	0	10,750
53 4511	FURN-OFFICE	878	4,235	4,235	0	4,235	0	4,235
53 4530	OTHER DP EQUIPMENT	0	250	250	0	250	0	250
TOTAL PROPERTY,PLANT & EQUIPMT		878	4,485	4,485	0	4,485	0	4,485
53 5830	MEMBERSHIP DUES	0	7,750	7,750	0	7,750	0	7,750
53 5840	SERVICE & OTHER AWARDS	392	15	15	0	15	0	15
53 5890	OTHER ADMIN. EXPENSES	893	0	0	0	0	0	0
53 5900	OTHER EXPENSES	0	4,405	4,405	0	4,405	0	4,405
TOTAL OTHER EXPENSES & ADJUSTMEN		1,285	12,170	12,170	0	12,170	0	12,170
53 8175	TRSFER OUT - IT	440,204	458,629	458,629	0	458,629	0	458,629
53 8190	TRSFR-OUT GENERAL ADMIN	136,423	152,984	156,271	-798	155,473	-798	155,473
53 8195	TRSFR-OUT DEPT ACCOUNTIN	87,554	88,269	88,269	0	88,269	0	88,269
TOTAL INTRAGOVERNMENTAL TRANSACT		664,181	699,882	703,169	-798	702,371	-798	702,371
TOTAL REQUIREMENTS		3,117,551	3,075,671	3,075,671	-798	3,074,873	-798	3,074,873
ESTIMATED RECEIPTS								
53 8311	REIMB-ESCHEAT OPERATIONS	3,117,553	3,075,671	3,075,671	-798	3,074,873	-798	3,074,873
TOTAL RECEIPTS		3,117,553	3,075,671	3,075,671	-798	3,074,873	-798	3,074,873
NET APPROPRIATION		-2	0	0	0	0	0	0

NORTH CAROLINA DEPARTMENT OF STATE TREASURER

Information Technology Division
Fund Code 1150

- Statutory Authority
  General Statute Chapter 147

- Major Responsibilities
  The Information Technology Division of the Department of the State Treasurer plans, develops, implements, enhances, and supports the automated systems that meet the programmatic requirements of the department and to ensure that the information resources are utilized in the most efficient and cost effective manner.

- Future projects/initiatives supporting the Department:
  - **Replacement of the State and Local Government Finance Division IT Systems** – modernization of the operations of the SLGFD through new audit management software, debt management software, and an electronic document management system.
  - **Unclaimed Property Audit System** – replacement of an existing audit system for better documentation and management of audits performed on compliance with escheatment statutes and regulations.
  - **Investment Management Division** Electronic Document Management – implementation of an electronic document management system to house digital copies of contracts and other documentation related to partnerships with external investment managers and firms.
  - **Expansion of ORBIT Self-Service** –addition of more functionality to the ORBIT system for members allowing members greater control over the management of their benefits and greater operational efficiency through the automation of some benefit management activities.
  - **Replacement of Core Banking** – as the oldest of our modern systems, Core Banking will be upgraded / replaced with a new system to continue to provide bank management functionality and integrate with the cash management functionality of BEACON.
  - **Data Warehousing** – development of a data warehouse for analytics on the operation of the Department of the State Treasurer for improving operational performance and better projections of future resource needs.

- Position Summary
  47.50 FTE (receipt supported)

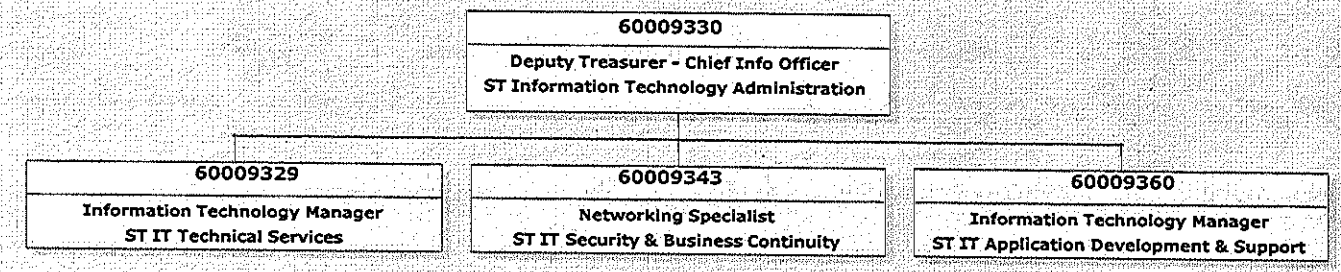
- Vacancy List from BEACON
  -0- Vacancies for this division

- Retirement Eligibility:
  Position count for employees **within three years** of retirement
  -0- employees from this division

  Position count for employees **with thirty years** of service
  1 employee from this division – Position #60009348

North Carolina
Department of State Treasurer

Information Technology Administration



North Carolina
Department of State Treasurer

Information Technology

60009329
Information Technology Manager
ST IT Technical Services

60009336
Operations And Systems Specialist
ST IT TECHSVS Infrastructure & Operation

- **60009353** — Technology Support Specialist, ST IT TECHSVS Infrastructure & Operation
- **60009354** — Technology Support Specialist, ST IT TECHSVS Infrastructure & Operation
- **60009369** — Technology Support Specialist, ST IT TECHSVS Infrastructure & Operation
- **60009366** — Technology Support Specialist, ST IT TECHSVS Infrastructure & Operation

60009337
Technology Support Analyst
ST IT TECHSVS Desktop Support & Helpdesk

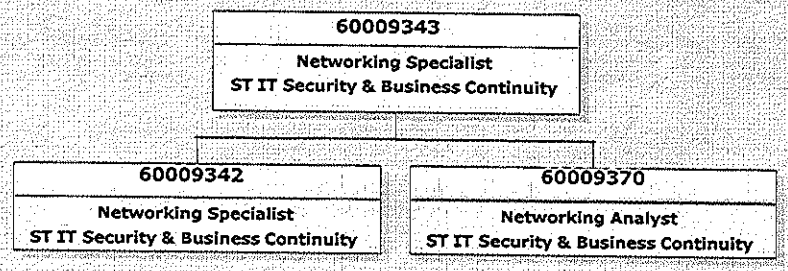
- **60009340** — Techology Support Analyst, ST IT TECHSVS Desktop Support & Helpdesk
- **60009347** — Techology Support Analyst, ST IT TECHSVS Desktop Support & Helpdesk
- **60009355** — Techology Support Analyst, ST IT TECHSVS Desktop Support & Helpdesk
- **60009344** — Techology Support Technician3, ST IT TECHSVS Desktop Support & Helpdesk
- **60009348** — Techology Support Technician, ST IT TECHSVS Desktop Support & Helpdesk
- **60009359** — Techology Support Technician, ST IT TECHSVS Desktop Support & Helpdesk

60009365
Operations and Systems Specialist
ST IT TECHSVS LAN/SAN Support

- **60009217** — Technology Support Technician, ST IT TECHSVS LAN/SAN Support
- **60009339** — Technology Support Technician, ST IT TECHSVS LAN/SAN Support
- **60009345** — Technology Support Analyst, ST IT TECHSVS LAN/SAN Support
- **60009338** — Technology Support Specialist, ST IT TECHSVS LAN/SAN Support

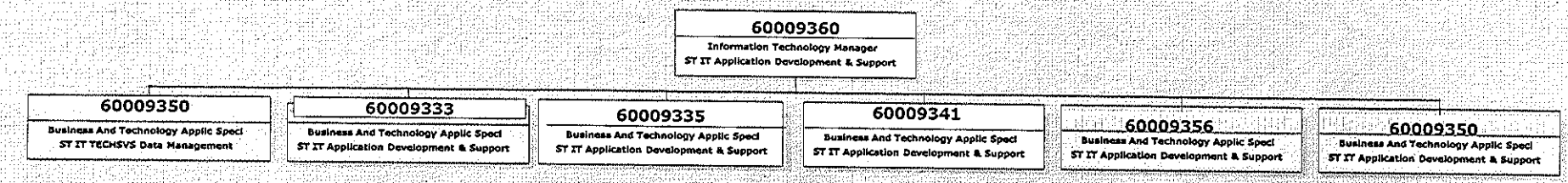
North Carolina
Department of State Treasurer

Information Technology



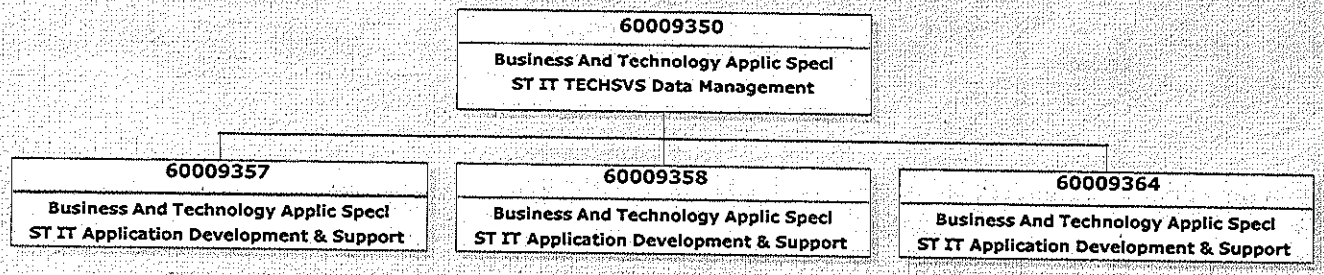
North Carolina
Department of State Treasurer

Information Technology



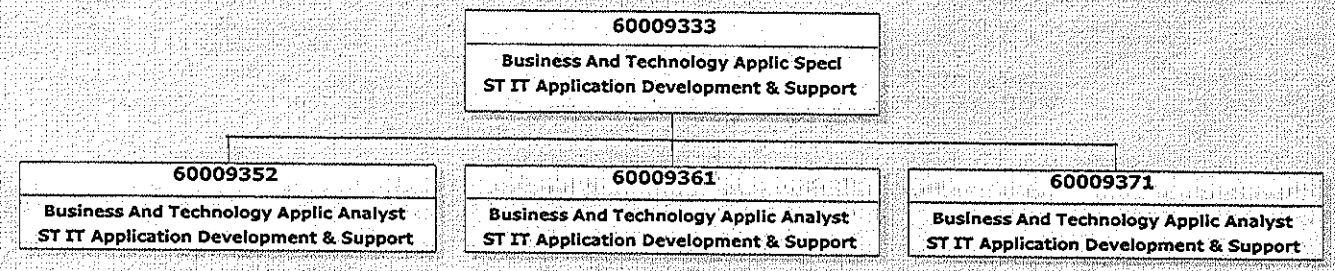
North Carolina
Department of State Treasurer

Information Technology



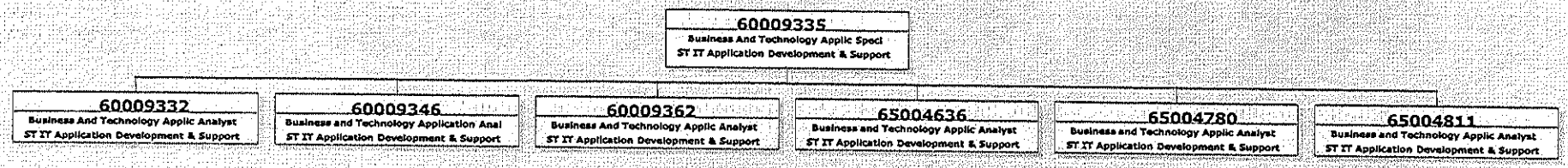
North Carolina
Department of State Treasurer

Information Technology



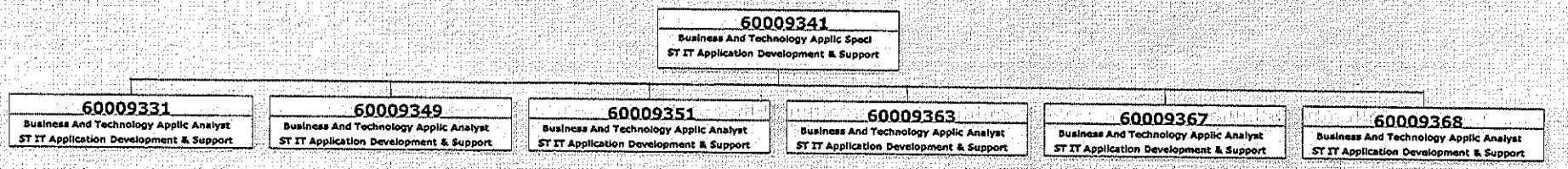
North Carolina
Department of State Treasurer

Information Technology



North Carolina
Department of State Treasurer

Information Technology



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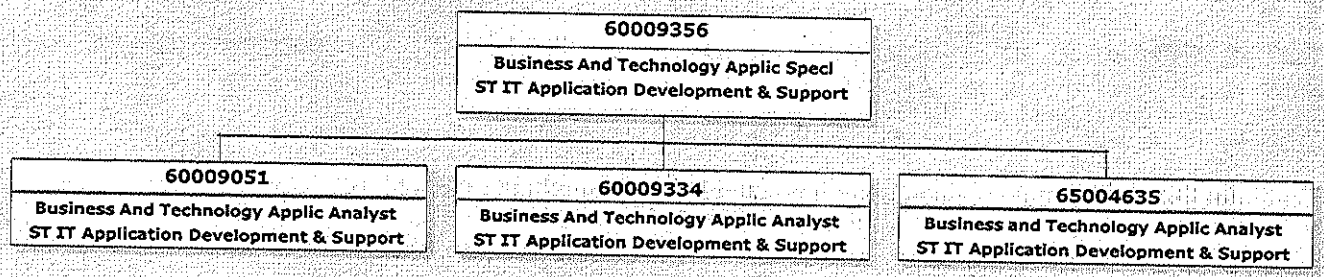
North Carolina
Department of State Treasurer

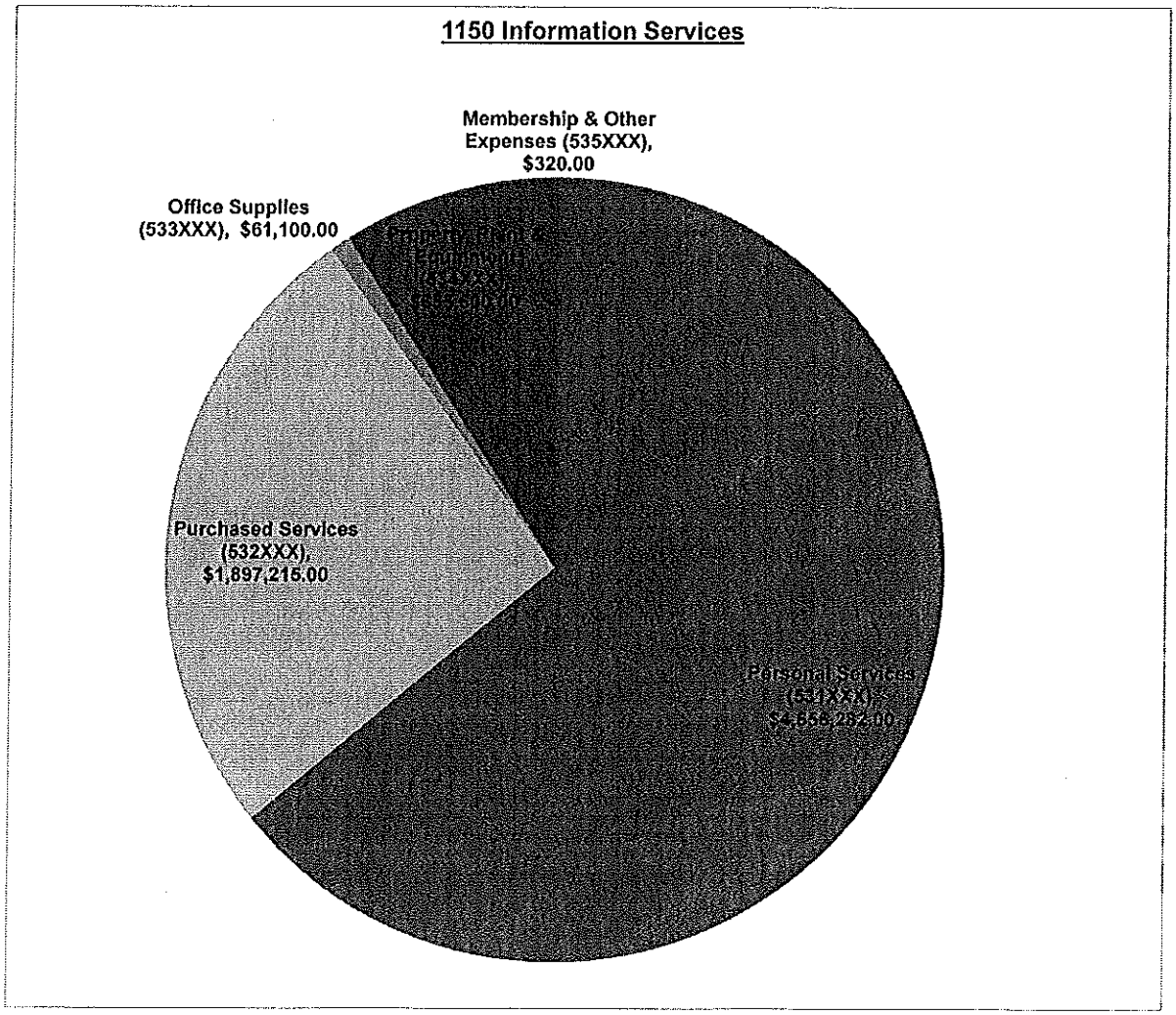
Information Technology



Department of State Treasurer

Continuation Budget

Fiscal Data

	2009 - 2010 Actual	2010 - 2011 Certified	2010 - 2011 Authorized	2011 - 2012 Recommended	2012 - 2013 Recommended
13410-1150 Information Services					
Total Requirements	$ 7,912,002.00	$ 7,270,517.00	$ 8,032,419.00	$ 8,032,419.00	$ 8,032,419.00
Receipts	$ 7,912,000.00	$ 7,270,517.00	$ 8,032,419.00	$ 8,032,419.00	$ 8,032,419.00
General Fund Appropriation	$ 2.00	$ -	$ -	$ -	$ -
Less: Recommmended Adjustment	$ -	$ -	$ -	$ -	$ -
Adjusted General fund Appropriation	$ 2.00	$ -	$ -	$ -	$ -

Expenditures by Category:	
Personal Services (531XXX)	$ 4,656,282.00
Purchased Services (532XXX)	$ 1,897,215.00
Office Supplies (533XXX)	$ 61,100.00
Property, Plant & Equipment (534XXX)	$ 655,600.00
Membership & Other Expenses (535XXX)	$ 320.00
Total Requirement	$ 7,270,517.00